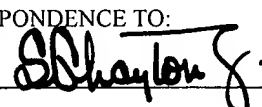
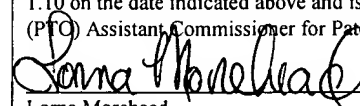


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|--|--|---|--|--|--|
| FORM PTO-1390<br>(REV 10-2000)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br><br>31707/207270   |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  |   |  | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><br><div style="font-size: 24pt; font-weight: bold;">09/720173</div> |  |
| INTERNATIONAL APPLICATION NO.<br><br>PCT/AU99/00510  |  | INTERNATIONAL FILING DATE<br><br>June 23, 1999          |  | PRIORITY DATE CLAIMED<br><br>June 23 and December 16, 1998   |  |
| TITLE OF INVENTION<br><br>"Orientation Of Films To Improve Barrier And UV Stability"   |  |   |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br><br>Peter Johnstone   |  |   |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |  |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |  |  |
| <b>Items 11. To 16. Below concern other document(s) or information included:</b>   |  |   |  |  |  |
| <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement (6) under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br/> <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:      Small Entity Statement; International Preliminary Examination Report; Written Opinion; Demand; Request</li> </ol>   |  |   |  |  |  |

|  |              |   |   |  |              |
|--|--------------|---|---|--|--------------|
| U.S. APPLICATION NO. <b>09/720173</b><br><small>(If known, see 37 CFR 1.50)</small>  |              | INTERNATIONAL APPLICATION NO.<br>PCT/AU99/00510 |   | ATTORNEY'S DOCKET NUMBER<br>31707/207270 |              |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:  |              |   |   | CALCULATIONS                             | PTO USE ONLY |
| <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482) nor International Search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report Not prepared by the EPO or JPO <span style="float: right;"><b>\$1,000.00</b></span>  |              |   |   | <b>\$1,000.00</b>                        |              |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <span style="float: right;"><b>\$860.00</b></span>   |              |   |   |  |              |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;"><b>\$710.00</b></span>  |              |   |   |  |              |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO But all claims did not satisfy provisions of PCT Article 33(1)-(4) <span style="float: right;"><b>\$690.00</b></span>   |              |   |   |  |              |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) <span style="float: right;"><b>\$ 100.00</b></span>  |              |   |   |  |              |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |              |   |   | <b>\$1,000.00</b>                        |              |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |   | <b>\$ 0.00</b>                           |              |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA                                    | RATE  |  |              |
| Total Claims   | 43 -20 =     | 23  | <b>X \$18.00</b>  | <b>\$ 414.00</b>                         |              |
| Independent Claims   | 12 - 3 =     | 9   | <b>X \$80.00</b>  | <b>\$ 720.00</b>                         |              |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   | <b>+ \$270.00</b>   | <b>\$ 270.00</b>                         |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |   | <b>\$2,404.00</b>                        |              |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by one-half.   |              |   |   | <b>\$1,202.00</b>                        |              |
| <b>SUBTOTAL =</b>  |              |   |   | <b>\$1,202.00</b>                        |              |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |   | <b>\$ 0.00</b>                           |              |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |   | <b>\$1,202.00</b>                        |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +  |              |   |   | <b>\$ 40.00</b>                          |              |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |   | <b>\$ 1,242.00</b>                       |              |
|  |              |   |   | Amount to be Refunded                    | \$           |
|  |              |   |   | Charged                                  | \$           |
| <input checked="" type="checkbox"/> Checks in the amount of \$1,202.00 and \$40.00 to cover the above fees are enclosed.   |              |   |   |  |              |
| b. <input type="checkbox"/> Please charge my Deposit Account No. 16-0605 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  |              |   |   |  |              |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0605.  |              |   |   |  |              |
| Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.   |              |   |   |  |              |
| SEND ALL CORRESPONDENCE TO:<br>Samuel G. Layton, Jr. <br>SIGNATURE<br>REGISTRATION NUMBER 22,807<br><b>ALSTON &amp; BIRD LLP</b><br>Post Office Drawer 34009<br>Charlotte, NC 28234<br>Tel. Charlotte Office (704) 331-6000<br>Fax Charlotte Office (704) 334-2014<br><b>Customer Number 000826</b> |              |   | <b>"Express Mail" Mailing Label Number EL432822366US</b><br>Date of Deposit: December 20, 2000<br><br>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX PCT, Attn: DO/US (PTO) Assistant Commissioner for Patents, Washington, DC 20231.<br><br>Lorna Morehead |  |              |

[Rev. July 1996]

Docket No. \_\_\_\_\_

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(a)) - SMALL BUSINESS CONCERN**

**FIRST GREEN PARK PTY LTD.,**

Applicant or Patentee: \_\_\_\_\_  
 Application or Patent No.: \_\_\_\_\_  
 Filed or Issued: \_\_\_\_\_  
 Title: \_\_\_\_\_

I hereby declare that I am  
☐ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN FIRST GREEN PARK PTY LTD.,  
 ADDRESS OF SMALL BUSINESS CONCERN 34-36 Lakeside Avenue, Reservoir.  
Victoria 3073 Australia

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in -  
☐ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(e) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:  
☒ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below:

NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING ROBERT BUCHANAN  
 TITLE OF PERSON IF OTHER THAN OWNER Company Secretary  
 ADDRESS OF PERSON SIGNING 83-85 DARRBURY ROAD, RESEVOIR  
 SIGNATURE [Signature] DATE VICTORIA

13 December 2000 AUSTRALIA

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